

REISSUE APPLICATION DECLARATION BY THE INVENTOR

Docket Number (Optional)

97-563-R1

I hereby declare that:

Each inventor's residence, mailing address and citizenship are stated below next to their name.

I believe the inventors named below to be the original and first inventor(s) of the subject matter which is described and claimed in patent number 6,298,329 B1, granted October 2, 2001

and for which a reissue patent is sought on the invention entitled METHOD AND APPARATUS FOR GENERATING A COUPON,

the specification of which

☒ is attached hereto.

☐ was filed _____ as reissue application number _____
and was amended _____
(If applicable)

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

☐ I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b). Attached is form PTO/SB/2B (or equivalent) listing the foreign applications.

I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)

☐ by reason of a defective specification or drawing.

☒ by reason of the patentee claiming more or less than he had the right to claim in the patent.

☒ by reason of other errors.

At least one error upon which reissue is based is described below. If the reissue is a broadening reissue, such must be stated with an explanation as to the nature of the broadening:

The reissue is a broadening reissue. The Patent as issued is partly inoperative in that it fails to protect against infringement of various embodiments of the Patentee's invention. The nature of the broadening is to add claims which correspond to issued method claims but which are directed to statutory classes that were previously unclaimed.

[Page 1 of 2]

This collection of information is required by 37 CFR 1.175. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

(REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2)

Docket Number (Optional)

97-563-R1

All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this

Name(s)	Registration Number	
Steven M. Santisi	40,157	Dean P. Alderucci 40,484
Michael D. Downs	50,252	Geoffrey M. Gelman 51,727
Magdalena M. Fincham	46,085	

Correspondence Address: Direct all communications about the application to:



Customer Number

22927

OR



Firm or

WALKER DIGITAL, LLC

Address

Att: Dean P. Alderucci

Address

Five High Ridge Park

City

Stamford

State

CT

Zip

06905

Country

United States of America

Telephone

203.461.7000

Fax

203.461.7300

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Full name of sole or first inventor (given name, family name)

Jay S. WALKER

Inventor's signature

Date

September 17, 2003

Residence 260 Oscaleta Road, Ridgefield, CT 06877

Citizenship USA

Mailing Address s/a

Full name of second joint inventor (given name, family name)

Andrew S. VAN LUCHENE

Inventor's signature

Date

October 1, 2003

Residence 535 West 23rd St., Apt. S11E, New York, NY 10012

Citizenship USA

Mailing Address s/a

Full name of third joint inventor (given name, family name)

Dean P. ALDERUCCI

Inventor's signature

Date

October 1, 2003

Residence 116 Pinewood Road, Stamford, CT 06903

Citizenship USA


Mailing Address s/a



Additional joint inventors or legal representative(s) are named on separately numbered sheets forms PTO/SB/02A or 02LR attached

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WALKER et al.)	Examiner:	Not Yet Assigned
)	Group Art Unit:	2162
Serial No.: Not Yet Assigned)	Attorney Docket No:	97-563-R1
)	Customer No.:	22927
Filing Date: Herein)	Notification of Fee Status Change	
)	Pursuant to 37 CFR § 1.27	
For: METHOD AND APPARATUS FOR)		
GENERATING A COUPON)		
)		
)		
)		
)		



Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

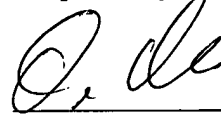
ASSERTION OF SMALL ENTITY STATUS

Dear Sir:

The assignee of the above-identified application claims small entity status. This notification is made pursuant to 37 CFR §1.27.


If the Examiner has any questions about this status change, the Examiner is invited to contact the undersigned at (203) 461-7337. While no fees are believed due in connection with this paper, please charge any fees that may be required for this paper, or with any other papers filed in connection with this application to Deposit Account No. 50-0271.

Respectfully submitted,



Dean P. Alderucci
Attorney for Applicants
Registration No. 40,484
Walker Digital, LLC
Five High Ridge Park
Stamford, CT 06905-1326
(203) 461-7337 /direct
(203) 461-7300 /fax
santisi@walkerdigital.com

October 2, 2003
Date

REISSUE APPLICATION: CONSENT OF ASSIGNEE; STATEMENT OF NON-ASSIGNMENT		Docket Number (Optional) 97-563-R1
This is part of the application for a reissue patent based on the original patent identified below.		
Name of Patentee(s) JAY S. WALKER et al.		
Patent Number 6,298,329 B1	Date Patent Issued October 2, 2001	
Title of Invention METHOD AND APPARATUS FOR GENERATING A COUPON		
<p>1. <input checked="" type="checkbox"/> Filed herein is a statement under 37 CFR 3.73(b). (Form PTO/SB/96)</p> <p>2. <input type="checkbox"/> Ownership of the patent is in the inventor(s), and no assignment of the patent is in effect.</p> <p>One of boxes 1 or 2 above must be checked. If multiple assignees, complete this form for each assignee. If box 2 is checked, skip the next entry and go directly to "Name of Assignee".</p> <p>The written consent of all assignees and inventors owning an undivided interest in the original patent is included in this application for reissue.</p>		
<p>The assignee(s) owning an undivided interest in said original patent is/are _____, and the assignee(s) consents to the accompanying application for</p>		
Name of assignee/inventor (if not assigned) WALKER DIGITAL, LLC		
Signature 	Date September 17, 2003	
Typed or printed name and title of person signing for assignee (if assigned) Jay S. Walker, Chief Executive Officer		

This collection of information is required by 37 CFR 1.172. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: JAY S. WALKER et al.

Application No./Patent No.: US 6,298,329 B1 Filed/Issue Date: October 2, 2001

Entitled:

METHOD AND APPARATUS FOR GENERATING A COUPON

WALKER DIGITAL, LLC, a Limited Liability Corporation,

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 010648, Frame 0653, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

September 17, 2003
Date

203.461.7000
Telephone number

Jay S. Walker
Typed or printed name

[Signature]
Signature

Chief Executive Officer

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.